



PH.D. ADMISSION GUIDELINES (JANUARY 2026 BATCH)

In accordance with the UGC Minimum Standards and Procedures for the award of the Ph.D. degree regulations, 2022 (Notified on 7-11-2022) and the Mewar University Ph.D. guidelines applicable for the 2023 batch onwards.

(Ref. No. MU/RO/2025/3955 dated 18-12-2025 and Ref. No. MU/RO/2026/053 DATED 08-01-2026)

P-1: Introduction

Applications are invited from both Indian and international candidates for admission to the Full-time and Part-time PhD programs at Mewar University in various disciplines. *Fellowships/Teaching assistantships of up to Rs 40,000 per month will be offered to deserving candidates.*

P-2: Important Dates

Start of Application: **18-12-2025**

Last date of Application: ~~10-01-2026~~ (24-01-2026)

Issuance of Admit Card for appearing in Entrance exam: ~~13-01-2026~~ (27-01-2026)

Date of Entrance-Exam: ~~17-01-2026~~ 31-01-2026 (11:00 am-1:00 pm)

Date of Interview: ~~17-01-2026~~ 31-01-2026 (3:00 pm onwards)

Announcement of Results: ~~20-01-2026~~ (02-02-2026)

Last date of Fee submission: ~~25-01-2026~~ (07-02-2026)

Orientation: ~~31-01-2026~~ (10-02-2026)

P-3: Programmes offered with Seat Matrix

PhD Programme Name	Intake
Chemistry	14
Physics	4
Mathematics	10
Biotechnology	8
Zoology/Env Science	2
Electrical Engineering	5
Electronics Engineering	3
Management	7
Commerce	2
Economics	8
Political Science	3
History	5
Music	4
Physical Education	4
Pharmacy	4
Hindi	2
Civil Engineering	6

P-4: Application Form

Download the PDF version of the application form using the link below. Fill out the form completely using a black ballpoint pen, scan the form and email it to “research_cor@mewaruniversity.co.in” and send a hard copy through speed post/courier to the following address: **The Director Research (PhD-Section), Mewar University, Chittorgarh, Rajasthan-312901.**

**Incomplete application forms will be rejected.*

P-5: Supporting documents

Along with the completed application form, please send (by email and post/courier) the mark sheets/certificates from class 10th onwards up to the PG degree.

Note-1: Application form without self-attested copies of marksheets/degree certificates/testimonials is rejected.

Note-2: In case of Part-time PhD, the candidate must provide a “No Objection Certificate” from their employer at the time of admission, clearly stating that:

- (i) The candidate is permitted to pursue studies on a part-time basis.
- (ii) His/her official duties permit him/her to devote sufficient time to research.
- (iii) If required, he/she will be relieved from the duty to complete the coursework.

P-6: Eligibility

The minimum qualifications for admission to a Ph.D. Program (Full-time/Part-time modes) is as follows:

i) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body in the relevant field with a minimum of 55% marks or an equivalent CGPA

OR

ii) A 4-year Bachelor's degree from a recognized Indian or foreign university/institute in the relevant field with a minimum of 75% marks or an equivalent CGPA. (Full-time PhD Only)

OR

iii) Candidates who have completed the M.Phil. program in the relevant field with a minimum of 55% marks in aggregate, or an equivalent CGPA, or an equivalent qualification from a foreign educational institution accredited by an approved assessment and accreditation agency, are eligible. This agency must be recognized or authorized by an authority established under the laws of its home country or any other statutory authority in that country that is responsible for assessing, accrediting, or assuring the quality and standards of educational institutions.

Note-1: *As per the UGC notification dated August 17, 2015, applicants with a Chartered Accountancy (CA) qualification from 'The Institute of Chartered Accountants of India' (ICAI), Cost and Works Accountancy from ICWAI, or Company Secretary from ICSI, with a minimum of 55% marks or an equivalent grade, may be considered eligible for admission to Ph.D. Programs in the relevant field.*

Note-2: *For PhD in Management, 2 Year PG diploma with a minimum of 55% marks or an equivalent CGPA may be considered, provided it is recognized as equivalent to a Master's degree by the Association of Indian Universities (AIU) or the All India Council for Technical Education (AICTE).*

Note-3: *Foreign/NRI applicants or applicants with a Master's degree from a foreign university must apply with an equivalence certificate from the Association of Indian Universities (AIU) along with the application form.*

Note-4: *According to UGC regulations 2022, Section 3(1)(i), a relaxation of 5% marks or its equivalent grade is applicable for candidates belonging to SC/ST/OBC (NCL), Differently-Abled individuals, EWS, and other categories as determined by the Commission from time to time.*

P-7: Mewar University PhD Entrance Test (MUPET)

MUPET of 100 Marks, comprised of two sections:

Section-A: MCQs on research methodology (50 Marks)

Section-B: MCQs on subject-specific content (50 Marks) (*Refer to UGC-NET/UGC-CSIR-NET syllabus*)

OR

Refer to section P-9 for an exemption from MUPET (*Relaxation to JRF/NET/GATE/CEED/Other National-level Exam qualified candidates with a valid certificate*)

NOTE-1: *Candidates securing at least 50% marks in the entrance test (MUPET)/ OR have a valid certificate of qualifying a National level exam in a relevant field will be eligible for an interview (Step-4).*

NOTE-2: *A relaxation of 5% marks will be granted in the entrance examination for candidates belonging to SC/ST/OBC/differently-abled categories, Economically Weaker Sections (EWS), and other categories determined by the Commission from time to time.*

NOTE-3: *The MUPET score is valid only for a particular session.*

Step-1: Document verification, and Interview for MUPET/National level exam qualified Candidates:

The Interview will be of 50 Marks to examine the research skills of the candidates.

NOTE: *Students appearing in the Interview must bring their Research Proposal (in 1000 words focusing on proposed research area and its relevance in the present day scenario), and original (along with one set of self-attested copies) of marksheets/certificates from class 10th onwards upto PG degree (including valid*

certificate of a National level exam qualified to claim exemption from the entrance test).

Step-2: If students fail to secure admission within the prescribed time limit, the offer will be extended to candidates on the waiting list according to the order of merit and reservation policies.

Step-3: If there are any seats remaining in the reserved category, they will be offered to candidates from the general category based on the order of merit.

Step-4: Following Step 8, if there are still available seats, they will be declared open and offered to the remaining candidates based on the order of merit.

Step-5: Orientation and the beginning of the session for the January 2026 batch will take place.

**Includes formal welcome and Introduction, allotment of supervisors, interaction with departmental faculties/staff, informative sessions, university campus visit, and completion of administrative formalities like institutional email ID, identity card, library access, etc.*

P-8: Date and time of Entrance Exam

~~17-01-2026~~ 31-01-2026 (Saturday) (11:00 AM to 1:00 PM)

P-9: Relaxation to JRF/ NET/ GATE/ CEED/ Other National-level Exam qualified candidates with a valid certificate

Candidates who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET (Category 1, 2 or 3)/GATE/ICAR-AICE-JRF/SRF (PhD)/ ICMR- JRF/SRF, GPAT/ DBT-JRF/ DST-INSPIRE/CEED and similar National level tests are

exempted from the Entrance exam and eligible for direct interview. A self-attested copy of the valid certificate confirming exemption from the entrance exam must be included with the application form.

P-10: Date and time of Interview

~~17-01-2026~~ 31-01-2026 (Saturday) (3:00 PM onwards)

P-11: Venue of Entrance Exam/Interview

Mewar University, Chittorgarh, Rajasthan-312901.

P-12: Fee Structure (Full-time/Part-time)

Application form = Rs 2000/-

At the time of Admission (first instalment) = Rs 1,00,000/-

During filling of course work exam form (second instalment) = Rs 1,00,000/-

During filling of RDC meeting/SRAC-3 appearance form (*whichever is earlier*) (third instalment) = Rs 1,00,000/-

During filling of Pre-viva appearance form (fourth instalment) = Rs 1,00,000/-

Total = Rs 4,02,000/- (Four Lakh Two thousand only).

Semester Extension Fee (beyond five years from the date of programme orientation) = Rs 50,000/-

NOTE-1: Extension will be provided (This will be upon approval of the competent authority)

NOTE-2: International Candidates will be charged an equivalent amount in US Dollars.

P-13: Duration of the PhD Programme

- ✓ The Ph.D. programme has a minimum duration of three (3) years, which includes coursework, and a maximum duration of six (6) years from the date of admission to the programme.
- ✓ An additional period of up to two (2) years may be granted through a process of re-registration, according to the statutes/ordinances of the Mewar University. However, the total time allowed for completing the Ph.D. programme must not exceed eight (8) years from the date of admission.
- ✓ Additionally, female Ph.D. scholars and individuals with disabilities (having more than 40% disability) may receive an extension of up to two (2) years. In such cases, the total duration for completing the Ph.D. programme must not exceed ten (10) years from the date of admission.
- ✓ Furthermore, female Ph.D. scholars may be entitled to maternity leave or child care leave for a maximum of 240 days during the entire duration of the Ph.D. programme.

P-14: Allocation of Research Supervisor

Permanent faculty members of Mewar University (Rajasthan) working as:

Professor/Associate Professor with a Ph.D., and at least five research publications in peer-reviewed or refereed journals

Or

Assistant Professors with a Ph.D., and at least three research publications in peer-reviewed or refereed journals are recognized as a Research Supervisor by the duly constituted “PhD Supervisors Screening Committee” upon final approval of the Hon’ble Vice Chancellor.

***Note-1:** Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons*

recorded in writing by the Chairperson of “PhD Supervisors Screening Committee”.

Note-2: *An eligible Professor/Associate Professor/Assistant Professor can supervise/co-supervise up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time. Additionally, Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as above.*

Note-3: *Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.*

Note-4: *Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.*

Note-5: *Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.*

Note-6: *If a supervisor leaves the institution, they must continue to serve as a co-supervisor until the PhD scholar defends their final thesis viva-voce. A new supervisor will be assigned based on the recommendation of the SCTAC/SRAC. If there is no available supervisor in the department, the Dean/HOD/other eligible PhD faculty member may be designated as a caretaker supervisor by the SCTAC/SRAC, pending approval from the Hon'ble Vice Chancellor. If a PhD scholar has completed two years of the program and the supervisor submits a report confirming satisfactory progress towards research objectives, the SRAC may recommend the assignment of a caretaker supervisor (such as the Departmental Dean/HOD/other eligible PhD faculty member) to the student until the final PhD thesis defense.*

Note-7: *In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.*

P-15: Evaluation and Assessment Methods, Minimum Standards for Award of the PhD Degree

P-15-1: Coursework

Credit requirements set by the University- 14 (Twelve)

Papers in Coursework-

Paper-I (Res-I (A): Research Methodology (4 Credits)

Paper-II (Res-I (B): ICT (4 Credits)

Paper-III (Res-II): Research and Publication Ethics (2 Credits)

Paper-IV (Res-III): Dissertation and Presentation related to the proposed area of research (4 Credits)

Important Link-

Course Work Syllabus/ Course Work Paper Formats/Guidelines for Assignment

<https://www.mewaruniversity.org/pages/Ph.D.%20Course%20work%20guideline%20and%20Assignments%202024-25.aspx>

Duration of Course Work- 6 Months (*Course work must be completed within 18 months from the date of admission, with a maximum of two attempts. However, an extension of 6 months will be given by the Hon'ble Vice Chancellor upon the recommendation of the SRAC/SCTAC.*

Criteria of Coursework Completion- *A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the coursework to be eligible to continue in the programme and submit his or her thesis.*

Additional Assignments- Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

P-15-2: Student Research Advisory Committee (SRAC)

Composition-

S.No.	Designation	Position	Remarks
1.	Dean/HOD	Chairperson*	-
2.	Supervisor	Convener	-
3.	Co-Supervisor	Ex-officio member	Optional
4.	Expert	Member	From the same department/ other department in case of multidisciplinary research/ VC Nominee.
5.	Statistician/ Research Methodology Expert	Member	-

**If the Head of Department (HOD) is not a PhD holder, the Vice-Chancellor (VC) will nominate a senior faculty member from the same or a different department as the committee chairperson, with the HOD serving as a member in this scenario.*

Functions of SRAC-

1. To guide the PhD Scholar in developing the research proposal and topic.
2. To recommend the RDC for approval of the research proposal and topic.
3. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
4. To periodically review and assist in the Ph.D. scholar's research work progress.
5. The SRAC will determine if the supervisor/co-supervisor has issued the certificate for incorporating corrections suggested by the examiners.

NOTE:

1. *Each semester, a Ph.D. scholar shall appear before the SRAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance.*
2. *The SRAC will provide its recommendations, along with a copy of the Ph.D. scholar's progress report, to the Research Section. A copy of these recommendations will also be given to the Ph.D. scholar.*

3. *In case the progress of the Ph.D. scholar is unsatisfactory, the SRAC shall record the reasons for the same and suggest corrective measures.*
4. *If the Ph.D. scholar fails to implement these corrective measures in the next consecutive semester, the SRAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.*

P-15-3: Research Degree Committee (RDC)

Composition-

S.No.	Designation	Position	Remarks
1.	VC or his nominee*	Chairperson	-
2.	Supervisor	Member	
3.	Co-Supervisor	Member	Optional
4.	External Subject Expert	Member	Nominated by the VC/ Competent Authority
5.	Director Research (PhD Section)	Member Secretary	

Roles and Responsibilities-

To review the research proposal and finalize the topic of research.

RDC timeline-

Within 24 months from the date of admission (eligible only after successful course work completion and Recommendation of SRAC/competent authority) with a maximum of two attempts. However, an extension of 12 months will be given by the Hon'ble Vice Chancellor upon the recommendation of the SRAC/SCTAC.

P-15-4: Standing Committee of The Academic Council (SCTAC)- PhD degree

Roles and Responsibilities-

1. Consider the examiners' report and make a decision regarding the award of PhD degree.
2. Consider the SRAC report regarding the extension of the registration term for a PhD scholar beyond the limits set by UGC PhD regulations (2016/2022).
3. The SCTAC may take action against supervisors who are found to be negligent in their responsibilities. This could include considering the possibility of debarring them from supervising future scholars.
4. Consider the recommendations from the SRAC regarding the appointment or change of supervisor/co-supervisor.
5. Make other decisions related to PhD matters based on recommendations from the Vice-Chancellor/Competent authority.

P-15-5: PhD Thesis Pre-Defense

Before submitting the Final dissertation/thesis for evaluation, the Ph.D. scholar shall make a Pre-Thesis presentation before the Pre-Viva evaluation board and SRAC members.

NOTE-1: Maximum two attempts will be allowed.

NOTE-2: In accordance of the Mewar University Guidelines.

P-15-6: Submission of PhD Thesis for evaluation and Final Defense

(i) After recommendation of the Pre-Viva evaluation board and SRAC, the Ph.D. scholar shall submit the PhD thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis

has not been submitted for the award of any other degree/diploma to any other University/Institution.

(ii) The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners (one from state other than Rajasthan) who are experts in the field (*academicians/researchers with a good record of scholarly publications in the field*) and not in employment of the Mewar University (Rajasthan).

(iii) The viva-voce board consist of the Research Supervisor and at least one of the two external examiners.

(iv) The viva-voce shall be open to the members of the SRAC/faculty members/research scholars, and students.

(v) The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the University shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

(vi) The entire process of PhD Thesis evaluation, final defense/viva voce, and declaration of the result as mentioned in P-15-6 shall complete within a period of six (6) months from the date of submission of the thesis.

**In case of delay, appropriate reasons shall be recorded by the competent authority and informed to the Supervisor, PhD Scholar, and SCTAC.*

P-16: Issuing a Provisional certificate

Prior to the actual award of the Ph.D. degree, the University, upon recommendation of the SCTAC, shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of UGC Regulations 2022 (or as applicable).

P-17: Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET.

P-18: Instructions and Formats

For information on plagiarism, generative AI fair use, publication and paper presentation requirements, language editing tools, ethical clearance, general formatting guidelines, and other related details, please visit the link below.

https://www.mewaruniversity.org/pages/PhD_Manual_and_Guidelines.aspx

P-19: For SOP regarding routine PhD proceedings, Registered PhD scholars can contact Director Research (Ph.D-Section). Email ID: research_cor@mewaruniversity.co.in, Mob: +91 9116969003, +91 9001930060

(This document has 15 pages).

NOTICE

Subject: Application Invite for Ph.D. Admissions and Entrance Exam.

It is hereby informed to all that the applications are invited from the meritorious candidates for admission to Full Time/Part time Ph.D. programs in various disciplines as per following tentative seat matrix.

Programme Name	Tentative Seats
Chemistry	14
Physics	4
Mathematics	10
Biotechnology	8
Zoology/Env Science	2
Electrical Engineering	5
Electronics Engineering	3
Management	7
Commerce	2
Economics	8
Political Science	3
History	5
Music	4
Physical Education	4
Pharmacy	4
Hindi	2
Civil Engineering	6

The important Dates are:

- Application Forms will be available starting December 18, 2025
- Deadline for Submitting Application Form is January 10, 2025
- The Date of Entrance Exam/Interview is January 17, 2025

For more information visit: www.mewaruniversity.org.

Copy to:

- PS to Hon'ble Chairperson (for kind information)
- PS to Hon'ble President (for kind information)
- PS to Hon'ble Pro-President (for kind information)
- All concerned Deans/Directors/HoD's (for kind inf. & Necessary action)
- Accounts/Examination/Library/Store/Warden/Security/IT Head.
- Coordinator, IQAC Cell.
- Guard/Recard file.


Registrar
Mewar University
Gangrar, Chittorgarh

**OFFICE OF THE REGISTRAR
MEWAR UNIVERSITY, GANGRAR, CHITTORGARH (RAJ.)**

Ref.No: MU/RO/2026/ 053

8th January, 2026

NOTICE

Subject: Reschedule of Ph.D. Entrance Exam.

In reference of Notice No-MU/RO/2025/3935 dated: 18th December, 2025, it is hereby informed that due to REET main examination on 17th to 20th January, 2026 the Ph.D. Entrance Exam is rescheduled to 31st January, 2026.

The important Dates are:

- Application Forms will be available starting December 18, 2025
- Deadline for Submitting Application Form is January 24, 2026
- The Date of Entrance Exam is January 31, 2026

For more information visit: www.mewaruniversity.org.

Copy to:

- PS to Hon'ble Chairperson (for kind information)
- PS to Hon'ble President (for kind information)
- PS to Hon'ble Pro-President (for kind information)
- All concerned Deans/Directors/HoD's (for kind inf. & Necessary action)
- Accounts/Examination/Library/Store/Warden/Security
- IT Head (for update on MU website)
- Coordinator, IQAC Cell.
- Guard/Recard file.


Registrar
Registrar
Mewar University
Gangrar, Chittorgarh

Call for



NAAC 'A'
ACCREDITED UNIVERSITY



Applications are invited from the meritorious candidates for admission to Full - time/Part-time Ph.D. programs of Mewar University in various disciplines.

Ph.D. Programme (Number of Vacant seats are mentioned in Parentheses)

Chemistry (14)	Physics (4)	Mathematics (10)	Biotechnology (8)	History (5)
Economics (8)	Hindi (2)	Pharmacy (4)	Management (7)	Civil Engineering (6)
Electrical Engineering (5)	Electronics Engineering (3)	Physical Education (4)	Political Science (3)	Zoology/Env. Science (2)
			Music (4)	Commerce (2)

Note The above seat matrix is tentative & subjected to further change at the time of seat allotment & applicable as per the University reservation norms of the Ph.D. Admissions.

Why Join Mewar University?

Fellowships/Teaching assistantships of up to Rs 40,000 pm to the meritorious candidates

100+ Laboratories Fully WIFI campus

Funding support for presenting Conference papers

Central library equipped with latest research tools

Incentives for SCI-publications

Incubation/Patent Support

Fostering Interdisciplinary Research

Eligibility Criteria: Graduate with a 4-year Bachelor's Degree Programme in a relevant discipline and a minimum of 75% Marks/ Post-Graduate in a relevant discipline with a minimum of 55% marks. Admissions in all the disciplines, as per the UGC and Mewar University regulations.

Important Dates:

Start of Application: 18-12-2025

Issuance of Admit Card for appearing in Entrance exam: 27-01-2026

Date of Interview: 31-01-2026 (3:00 pm onwards)

Last date of Fee submission: 07-02-2026

Last date of Application: 24-01-2026

Date of Entrance-Exam: 31-01-2026 (11:00 am-1:00 pm)

Announcement of Results: 02-02-2026

Orientation: 10-02-2026

Kindly visit the University website "www.mewaruniversity.org" for application form, details regarding the admission process, eligibility criteria, programme fees, duration, coursework, and Ph.D. guidelines. For direct inquiries, contact Director Research (Ph.D.) at +91 9116969003, 9001930060

5. ACADEMIC RECORD Please encloses self attested copies of mark-sheets & Degree Certificate.

CLASS10th	YEAR OF PASSING		INSTITUTION		<input type="checkbox"/> CBSE <input type="checkbox"/> ICSE <input type="checkbox"/> STATE BOARD	
			Marks Obtained		% of marks	
			Maximum Total			
CLASS12th	<input type="checkbox"/> Science <input type="checkbox"/> Art <input type="checkbox"/> Commerce		INSTITUTION		<input type="checkbox"/> CBSE <input type="checkbox"/> ICSE <input type="checkbox"/> STATE BOARD	
	YEAR OF PASSING		Marks Obtained		% of marks	
			Maximum Total			
BACHELOR'S (SPECIFY)	DISCIPLINE		INSTITUTION		UNIVERSITY	
	YEAR OF PASSING		Marks Obtained		% of marks	
			Maximum Total			
MASTER'S (SPECIFY)	DISCIPLINE		INSTITUTION		UNIVERSITY	
	YEAR OF PASSING		Marks Obtained		% of marks	
			Maximum Total			

6. RESEARCH / TEACHING / INDUSTRY EXPERIENCE (IF ANY)(use a separate sheet if necessary)

FROM	TO	ORGANISATION	POSITION	JOB DESCRIPTION

7. PUBLICATIONS (use a separate sheet if necessary)

Title Paper	Journal	Vol. Month & Year	Co Author if any

8. Any other relevant information candidate wish to mention (optional):

9. UPI I'd/UTR No. of Application Fees - Rs. 2,000/- _____

10. Mandatory enclosures with application form:

- Marksheets/Certificates 10th Class onwards upto PG Degree
- Proof in support of column 3,6,7

- Declaration : I affirm that all entries in application and the appended documents ARE TRUE IN ALL ASPECTS and that the RESEARCH PROPOSAL HAS BEEN PREPARED BY MYSELF. I understand that any information/ document if found to be false, shall automatically cancel my candidature and render me liable for such action as the University may deem proper.

Candidate's Name : _____

Candidate's Signature : _____

Date : ____ / ____ / ____



NH-48, Gangrar, Chittorgarh, Rajasthan 312901

MEWAR UNIVERSITY PH.D GUIDELINES

In accordance with the UGC Minimum Standards and Procedures for the award of the Ph.D. degree regulations, 2022 (Notified on 7-11-2022)

(APPLICABLE FOR 2023 BATCH ONWARDS)

(Registrar)

1. Introduction

Applications will be invited from both Indian and international candidates for admission to the Full-time and Part-time PhD programs at Mewar University in various disciplines.

1.1 The detailed PhD Admission guidelines and procedure along with the Application form will be uploaded on the Mewar University Website (www.mewaruniversity.org) under “Call For PhD Admissions” Section with Important Dates.

1.2 The advertisement will be released in advance for wider circulation, allowing sufficient time for applicants to complete the application process.

1.3 Fellowships/Teaching assistantships will be offered to deserving candidates.

2. Important Dates

Announcement of important dates includes the beginning of PhD applications, the last date for PhD applications, the issuance of admit cards for the entrance exam, the entrance exam date, the interview schedule, the results announcement, the fee submission deadlines, and the orientation or commencement of coursework classes.

3. Programmes offered with Seat Matrix

Deans/Heads prepared a list of PhD programs available in their departments, along with the seat matrix, and forwarded it to the PhD Section to initiate the admission process for a new upcoming batch.

4. Availability and Submission of Application Form

PhD applicants can obtain the application form in two ways: they can either download the PDF version from the university's website or collect a hard copy from the PhD Section of the university. The completed application form must be sent to The PhD-Section, Mewar University, Chittorgarh, Rajasthan-312901” by speed post/ courier/in-person.

4.1 Along with the completed application form, applicant must send (by email and post/courier/in-person) the mark sheets/certificates from class 10th onwards up to the PG degree.

4.2 Incomplete application forms will be rejected.

4.3 Application form without self-attested copies of marksheets/degree certificates/testimonials will be rejected.

4.4 In case of Part-time PhD, the candidate must provide a “No Objection Certificate” from their employer at the time of admission, clearly stating that:

(i) The candidate is permitted to pursue studies on a part-time basis.

(ii) His/her official duties permit him/her to devote sufficient time to research.

(iii) If required, he/she will be relieved from the duty to complete the coursework.

5. Eligibility Criteria

5.1 The minimum qualifications for admission to a Ph.D. Program (Full-time/Part-time modes) will be as follows:

i) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body in the relevant field with a minimum of 55% marks or an equivalent CGPA

OR

ii) A 4-year Bachelor's degree from a recognized Indian or foreign university/institute in the relevant field with a minimum of 75% marks or an equivalent CGPA. (Full-time PhD Only)

OR

iii) Candidates who have completed the M.Phil. program in the relevant field with a minimum of 55% marks in aggregate, or an equivalent CGPA, or an equivalent qualification from a foreign educational institution accredited by an approved assessment and accreditation agency, are eligible. This agency must be recognized or authorized by an authority established under the laws of its home country or any other statutory authority in that country that is responsible for assessing, accrediting, or assuring the quality and standards of educational institutions.

- 5.2 As per the UGC notification dated August 17, 2015, applicants with a Chartered Accountancy (CA) qualification from 'The Institute of Chartered Accountants of India' (ICAI), Cost and Works Accountancy from ICWAI, or Company Secretary from ICSI, with a minimum of 55% marks or an equivalent grade, may be considered eligible for admission to Ph.D. Programs in the relevant field.
- 5.3 For PhD in Management, 2 Year PG diploma with a minimum of 55% marks or an equivalent CGPA may be considered, provided it is recognized as equivalent to a Master's degree by the Association of Indian Universities (AIU) or the All India Council for Technical Education (AICTE).
- 5.4 Foreign/NRI applicants or applicants with a Master's degree from a foreign university must apply with an equivalence certificate from the Association of Indian Universities (AIU) along with the application form.
- 5.5 According to UGC regulations 2022, Section 3(1)(i), a relaxation of 5% marks or its equivalent grade is applicable for candidates belonging to SC/ST/OBC (NCL), Differently-Abled individuals, EWS, and other categories as determined by the Commission from time to time.

6. Mewar University PhD Entrance Test (MUPET) and Interview Process

- 6.1 **Step-1:** All received PhD application forms in the PhD Section will be forwarded to the respective departments. The Deans/Heads will review the applications to determine whether candidates meet the eligibility criteria. A brief report on all received PhD applications, along with the corresponding

application forms, must be submitted by the Deans/Heads to the PhD Section for further processing.

6.2 **Step-2:** All the eligible applicants will issue the Admit Card to appear in the PhD Selection Process.

6.3 **Step-3:** PhD Entrance Test (MPUET): MUPET of 100 Marks, comprised of two sections:

- Section-A: MCQs on research methodology (50 Marks)
- Section-B: MCQs on subject-specific content (50 Marks) (*Refer to UGC-NET/UGC-CSIR-NET syllabus*)

OR

Refer to section-7 of this document for an exemption from MUPET (Relaxation to JRF/ NET/ GATE/ CEED/ Other National-level Exam qualified candidates with a valid certificate)

6.3.1 Candidates securing at least 50% marks in the entrance test (MUPET)/ Or have a valid certificate of qualifying a National level exam in a relevant field will be eligible for an interview.

6.3.2 A relaxation of 5% marks will be granted in the entrance examination for candidates belonging to SC/ ST/ OBC/ differently-abled categories, Economically Weaker Sections (EWS), and other categories determined by the Commission from time to time.

6.3.3 The MUPET score is only valid for one session unless specified in the “Call for PhD Applications.”

6.3.4 The PhD Entrance Test will be held at Mewar University, Gangrar, Chittorgarh, Rajasthan. The University will allocate additional test centres for applicants based on the number of applications received.

6.4 Step-4: Document verification, and Interview for MUPET/National level exam qualified Candidates. The Interview will be of 50 Marks to examine the research skills of the candidates.

6.4.1 Students appearing in the Interview must bring their Research Proposal (in 1000 words focusing on proposed research area and its relevance in the present day scenario), and original (along with one set of self-attested copies) of marksheets/certificates from class 10th onwards upto PG degree (including valid certificate of a National level exam qualified to claim exemption from the entrance test).

6.4.2 The Interviews will only be held at the Mewar University, Gangrar, Chittorgarh (Rajasthan).

6.5 Step-5: If students fail to secure admission within the prescribed time limit, the offer will be extended to candidates on the waiting list according to the order of merit and reservation policies.

6.6 Step-6: If there are any seats remaining in the reserved category, they will be offered to candidates from the general category based on the order of merit.

6.7 Step-7: Following Step-6, if there are still available seats, they will be declared open and offered to the remaining candidates based on the order of merit.

6.8 **Step-8:** Orientation and the beginning of the PhD session.

Note: Orientation Session will includes formal welcome and Introduction, allotment of supervisors, interaction with departmental faculties/staff, informative sessions, university campus visit, and completion of administrative formalities like institutional email ID, identity card, library access, etc.

7. Relaxation to JRF/ NET/ GATE/ CEED/ Other National-level Exam qualified candidates with a valid certificate

Candidates who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/ICAR-AICE-JRF/SRF (PhD)/ ICMR- JRF/SRF, GPAT/ DBT-JRF/ DST-INSPIRE/CEED and similar National level tests are exempted from the Entrance exam and eligible for direct interview. A self-attested copy of the valid certificate confirming exemption from the entrance exam must be included with the application form.

8. Fee Structure (Full-time/Part-time)

The application and course fee details will be included in the “Call for PhD Applications” and posted on the University website.

9. Duration of the PhD Programme

9.1 The Ph.D. programme has a minimum duration of three (3) years, which includes coursework, and a maximum duration of six (6) years from the date of admission to the programme.

- 9.2 An additional period of up to two (2) years may be granted through a process of re-registration, according to the statutes/ordinances of the Mewar University. However, the total time allowed for completing the Ph.D. programme must not exceed eight (8) years from the date of admission.
- 9.3 Additionally, female Ph.D. scholars and individuals with disabilities (having more than 40% disability) may receive an extension of up to two (2) years. In such cases, the total duration for completing the Ph.D. programme must not exceed ten (10) years from the date of admission.
- 9.4 Furthermore, female Ph.D. scholars may be entitled to maternity leave or child care leave for a maximum of 240 days during the entire duration of the Ph.D. programme.

10. Allocation of Research Supervisor

- 10.1 Permanent faculty members of Mewar University (Rajasthan) working as:
Professor/Associate Professor with a Ph.D., and at least five research publications in peer-reviewed or refereed journals
Or
Assistant Professors with a Ph.D., and at least three research publications in peer-reviewed or refereed journals are recognized as a Research Supervisor by the duly constituted “PhD Supervisors Screening Committee” upon final approval of the Hon’ble Vice Chancellor.
- 10.2 Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the University may relax the above

condition for recognition of a person as Research Supervisor with reasons recorded in writing by the Chairperson of “PhD Supervisors Screening Committee”.

10.3 An eligible Professor/Associate Professor/Assistant Professor can supervise/co-supervise up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time. Additionally, Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as above.

10.4 Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.

10.5 Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

10.6 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

10.7 If a supervisor leaves the institution, they must continue to serve as a co-supervisor until the PhD scholar defends their final thesis viva-voce. A new supervisor will be assigned based on the recommendation of the SCTAC/SRAC. If there is no available supervisor in the department, the Dean/HOD/other eligible PhD faculty member may be designated as a

caretaker supervisor by the SCTAC/SRAC, pending approval from the Hon'ble Vice Chancellor. If a PhD scholar has completed two years of the program and the supervisor submits a report confirming satisfactory progress towards research objectives, the SRAC may recommend the assignment of a caretaker supervisor (such as the Departmental Dean/HOD/other eligible PhD faculty member) to the student until the final PhD thesis defense.

10.8 In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.

11. Evaluation and Assessment Methods, Minimum Standards for Award of the PhD Degree

11.1 Coursework

11.1.1 Credit requirements set by the University- 14 Credits

11.1.2 Papers in Coursework-

Paper-I (Res-I (A): Research Methodology (4 Credits)

Paper-II (Res-I (B): ICT (4 Credits)

Paper-III (Res-II): Research and Publication Ethics (2 Credits)

Paper-IV (Res-III): Dissertation and Presentation related to the proposed area of research (4 Credits)

11.1.3 Important Link-Course Work Syllabus/ Course Work Paper Formats/Guidelines for Assignment.

<https://www.mewaruniversity.org/pages/Ph.D.%20Course%20work%20guideline%20and%20Assignments%202024-25.aspx>

11.1.4 Duration of Course Work- Six Months (*Course work must be completed within 18 months from the date of admission, with a maximum of two attempts. However, an extension of 6 months will be given by the Hon'ble Vice Chancellor upon the recommendation of the SRAC/SCTAC.*)

11.1.5 Criteria of Coursework Completion- A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the coursework to be eligible to continue in the programme and submit his or her thesis.

11.1.6 Additional Assignments- Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

11.2 Student Research Advisory Committee (SRAC)

11.2.1 Composition-

S.No.	Designation	Position	Remarks
1.	Dean/HOD	Chairperson*	-
2.	Supervisor	Convener	-
3.	Co-Supervisor	Ex-officio member	Optional
4.	Expert	Member	From the same department/ other department in case of multidisciplinary research/ VC Nominee.
5.	Statistician/ Research Methodology Expert	Member	-

**If the Head of Department (HOD) is not a PhD holder, the Vice-Chancellor (VC) will nominate a senior faculty member from the same or a different department as the committee chairperson, with the HOD serving as a member in this scenario.*

11.2.2 Functions-

- 11.2.2.1 To guide the PhD Scholar in developing the research proposal and topic.
- 11.2.2.2 To recommend the RDC for approval of the research proposal and topic.
- 11.2.2.3 To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- 11.2.2.4 To periodically review and assist in the Ph.D. scholar's research work progress.
- 11.2.2.5 The SRAC will determine if the supervisor/co-supervisor has issued the certificate for incorporating corrections suggested by the examiners.

NOTE:

1. Each semester, a Ph.D. scholar shall appear before the SRAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance.
2. The SRAC will provide its recommendations, along with a copy of the Ph.D. scholar's progress report, to the Research Section. A copy of these recommendations will also be given to the Ph.D. scholar.
3. In case the progress of the Ph.D. scholar is unsatisfactory, the SRAC shall record the reasons for the same and suggest corrective measures.
4. If the Ph.D. scholar fails to implement these corrective measures in the

next consecutive semester, the SRAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

11.3 Research Degree Committee (RDC)

11.3.1 Composition-

S.No.	Designation	Position	Remarks
1.	VC or his nominee*	Chairperson	-
2.	Supervisor	Member	
3.	Co-Supervisor	Member	Optional
4.	External Subject Expert	Member	Nominated by the VC/ Competent Authority
5.	Director-Research (PhD Section)	Member Secretary	

11.3.2 Roles and Responsibilities- To review the research proposal and finalize the topic of research.

11.3.3 RDC timeline- Within 24 months from the date of admission (eligible only after successful course work completion and Recommendation of SRAC/ competent authority) with a maximum of two attempts. However, an extension of 12 months will be given by the Hon'ble Vice Chancellor upon the recommendation of the SRAC/SCTAC.

11.4 Standing Committee of The Academic Council (SCTAC)- PhD degree

11.4.1 Roles and Responsibilities-

11.4.1.1 Consider the examiners' report and make a decision regarding the award of PhD degree.

11.4.1.2 Consider the SRAC report regarding the extension of the registration term for a PhD scholar beyond the limits set by UGC PhD regulations (2022 or as applicable).

11.4.1.3 The SCTAC may take action against supervisors who are found to be negligent in their responsibilities. This could include considering the possibility of debarring them from supervising future scholars.

11.4.1.4 Consider the recommendations from the SRAC regarding the appointment or change of supervisor/co-supervisor.

11.4.1.5 Make other decisions related to PhD matters based on recommendations from the Hon'ble Vice-Chancellor/Competent authority.

11.5 PhD Thesis Pre-Defense

Before submitting the Final dissertation/thesis for evaluation, the Ph.D. scholar shall make a Pre-Thesis presentation before the Pre-Viva evaluation board and SRAC members.

Note-1: Maximum two attempts will be allowed.

Note-2: In accordance of the Mewar University Guidelines.

11.6 Submission of PhD Thesis for Evaluation and Final Defense

- 11.6.1 After recommendation of the Pre-Viva evaluation board and SRAC, the Ph.D. scholar shall submit the PhD thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other University/Institution.
- 11.6.2 The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners (one from state other than Rajasthan) who are experts in the field (*academicians/ researchers with a good record of scholarly publications in the field*) and not in employment of the Mewar University (Rajasthan).
- 11.6.3 The viva-voce board consist of the Research Supervisor and at least one of the two external examiners.
- 11.6.4 The viva-voce shall be open to the members of the SRAC/faculty members/research scholars, and students.
- 11.6.5 The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the University

shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

11.6.6 The entire process of PhD Thesis evaluation, final defense/viva voce, and declaration of the result as mentioned in Section-11.6 shall complete within a period of six (6) months from the date of submission of the thesis.

Note: In case of delay, appropriate reasons shall be recorded by the competent authority and informed to the Supervisor, PhD Scholar, and SCTAC.

12. Issuing a Provisional certificate

Prior to the actual award of the Ph.D. degree, the University, upon recommendation of the SCTAC, shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of UGC Regulations 2022 (or as applicable).

13. Depository with INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET.

14. Annexures/Formats Important for Registered PhD Students

All the annexures/formats/general guidelines on plagiarism, publication/paper presentation requirements, language editing tool, ethical clearance, general formatting, undertakings/ declarations, along with other related information, will be provided and updated periodically on the Mewar University website under the “Research Section tab”.

15. Routine PhD proceedings and Queries:

For Standard Operating Procedures regarding routine PhD proceedings, Registered PhD scholars can contact at PhD Section of the University.

(This document has 18 pages).



ज्ञान-विज्ञान विमुक्तये

प्रो. रजनीश जैन
सचिव

Prof. Rajnish Jain
Secretary



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग
University Grants Commission

(मानव संसाधन विकास मंत्रालय, भारत सरकार)
(Ministry of Human Resource Development, Govt. of India)

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D.O.No.F.1-18/2010(CPP-II)

6th August, 2018

Dear Sir/Madam,

In order to put a check on plagiarism and to recommend some institutional mechanism to eliminate the scope of this menace in higher education system in the country, University Grants Commission in its 530th meeting held on 20.03.2018 considered and approved the *UGC (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018*. These Regulations have been notified in the Official Gazette of India on 31st July, 2018 and are available on UGC website i.e. www.ugc.ac.in.

You are requested to put in force these Regulations in your esteemed University and affiliated colleges and ensure compliance of the same.

This may be accorded Top Most Priority.

With kind regards,

Yours sincerely,

(Rajnish Jain)

The Vice-Chancellor of all Universities.

Copy to:

The Publication Officer, UGC for uploading on UGC website.

(Rajnish Jain)



भारत का राजपत्र The Gazette of India

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विश्वविद्यालय अनुदान आयोग
अधिसूचना

विश्वविद्यालय अनुदान आयोग (उच्चतर शिक्षा संस्थानों में अकादमिक सत्यनिष्ठा एवं साहित्यिक चोरी की रोकथाम को प्रोत्साहन) विनियम, 2018

नई दिल्ली, 23 जुलाई, 2018

मि. सं. 1-18/2010 (सीपीपी-II)—

प्रस्तावना

जबकि, विश्वविद्यालय अनुदान आयोग (यूजीसी) अधिनियम, 1956 के अनुसार, उच्च शिक्षा के मानकों को समन्वित एवं सुनिश्चित करने के लिए आदेशाधीन है।

तथा जबकि, किसी छात्र या संकाय या शोधकर्ता या कर्मचारी द्वारा निष्ठात तथा शोध स्तर पर डिग्री प्रदान करने हेतु आंशिक रूप से सम्पादित किया गया कार्य, जिसका अकादमिक एवं शोधकार्य का मूल्यांकन किया जा चुका हो, जो शोध-निबन्ध, शोध-प्रबन्ध, शोध पत्रों के प्रकाशन, पुस्तकों में अध्याय, सम्पूर्ण पुस्तकों के रूप में हो तथा कोई अन्य समरूप कार्य, जो अकादमिक, सत्यनिष्ठा एवं मौलिकता के मूल तत्वों को दर्शाये तथा जिसका उच्चतर शिक्षा संस्थानों (HEIs) द्वारा अपनायी गई विभिन्न संबंधित प्रक्रियाओं में अवलोकन किया जाए।

अतः, वि. अ. आ. अधिनियम 1956 के अनुच्छेद 26 के उप अनुच्छेद (1) के खण्ड (एफ) एवं (जी) के साथ पठित अनुच्छेद 12 के खण्ड (जे) के द्वारा प्रदत्त अधिकारों का प्रयोग करते हुए, वि. अ. आ. ने एतद्वारा निम्नलिखित विनियम निर्मित किए हैं:-

1. संक्षिप्त शीर्षक, अनुप्रयोग तथा प्रारंभ :

- (ए) इन नियमों को, विश्वविद्यालय अनुदान आयोग (उच्चतर शिक्षा संस्थानों में अकादमिक सत्यनिष्ठा एवं साहित्यिक चोरी की रोकथाम को प्रोत्साहन) विनियम 2018 कहा जाएगा।
- (बी) ये विनियम, देश के सभी उच्चतर शिक्षा संस्थानों के छात्रों, संकायों, शोधकर्ताओं तथा कर्मचारियों पर लागू होंगे।
- (सी) ये विनियम, सरकारी राजपत्र में उनकी अधिसूचना की तारीख से प्रभावी होंगे।

2. परिभाषा:

इन विनियमों में, जब तक कि प्रसंग में अन्यथा अपेक्षित न हो—

- (ए) "अकादमिक सत्यनिष्ठा" से तात्पर्य, किसी क्रियाकलाप को प्रस्तावित करने, निष्पादित करने, सूचित करने एवं बौद्धिक ईमानदारी से है, जिससे बौद्धिक गुणों का सृजन हो सके।
- (बी) "रचनाकार" रचनाकार के अंतर्गत उच्चतर शैक्षिक संस्थान (HEI) के छात्र या संकाय या शोधकर्ता या कर्मचारी आते हैं जो विचाराधीन कार्य के सृजनकर्ता होते हैं।
- (सी) "आयोग" से अभिप्राय वि. अ. आ. से है, जैसा कि वि. अ. आ. अधिनियम, 1956 में यथापरिभाषित है।
- (डी) "सामान्य ज्ञान" से अभिप्राय, सुप्रसिद्ध तथ्य, उद्धरण, आंकड़ा या जानकारी से है जिसकी अधिकांश व्यक्तियों को जानकारी हो।
- (ई) "डिग्री" से अभिप्राय, वि. अ. आ. द्वारा निर्धारित की गई ऐसी डिग्री से है जो कि वि. अ. आ. अधिनियम 1956 के अनुच्छेद 22 के अंतर्गत सरकारी राजपत्र में अधिसूचित की गई हो।
- (एफ) "विभागीय अकादमिक सत्यनिष्ठा नामसूची" से तात्पर्य होगा विभागीय स्तर पर गठित निकाय, जो साहित्यिक चोरी के आरोपों की जांच पड़ताल करेगा।
- (जी) "संकाय" से तात्पर्य, किसी उच्चतर शिक्षा संस्थान में नामांकित किसी व्यक्ति से है जो छात्रों को शिक्षण तथा/या मार्गदर्शन प्रदान करता हो, चाहे वह किसी भी क्षमता का हो अर्थात् नियमित, तदर्थ, अतिथि, अस्थायी, मुलाकाती आदि।
- (एच) "उच्चतर शिक्षा संस्थान (HEI)" से अभिप्राय ऐसे वि. वि. से है जो वि. अ. आ. अधिनियम, 1956 के अनुच्छेद 2(एफ) के अंतर्गत मान्यताप्राप्त हो या वि. अ. आ. अधिनियम 1956 के अनुच्छेद 3 के अंतर्गत वह संस्थान जो मानित वि. वि. के अंतर्गत आता हो या मान्य महाविद्यालय/संस्थान या किसी वि. वि. की एक संघटक इकाई हो।
- (आई) "सूचना" इसके अंतर्गत आंकड़े, संदेश, पाठ्यवस्तु, आकृतियाँ, ध्वनि, आवाज, कोड, कम्प्यूटर कार्यक्रम, सॉफ्टवेयर एवं डाटाबेस या माइक्रोफिल्म या कम्प्यूटर सृजित माइक्रोफिश सम्मिलित हैं।
- (जे) "संस्थागत अकादमिक सत्यनिष्ठा नामसूची" से अभिप्राय एक ऐसे निकाय से है जो विभागीय अकादमिक सत्यनिष्ठा नामसूची की सिफारिशों पर विचार करने के लिए तथा साहित्यिक चोरी के आरोपों के बारे में उचित निर्णय लेने तथा दण्ड लागू करने संबंधी निर्णय लेने के लिए संस्थागत स्तर पर गठित किया गया हो। अपवादिक मामलों में यह न्यास संस्थागत स्तर पर साहित्यिक चोरी के आरोपों की जांच करेगा।
- (के) "अधिसूचना" से तात्पर्य, सरकारी राजपत्र में प्रकाशित की गई अधिसूचना से है तथा अधिसूचित करने की अभिव्यक्ति का उसके समानार्थी तथा व्याकरणिय भिन्नता के अनुरूप अनुमान लगाया जाएगा।
- (एल) "साहित्यिक चोरी" से अभिप्राय किसी अन्य के द्वारा किए गए कार्य या विचार को निज प्रयोग में लेना तथा अपने नाम से दूसरे को देना।
- (एम) "पाठ्यक्रम" से तात्पर्य, अध्ययन किया जाने वाला वह पाठ्यक्रम जिसके लिए निष्णात एवं शोध स्तर पर डिग्री प्रदान की जाए।
- (एन) "शोधकर्ता" से तात्पर्य है उच्चतर शैक्षिक संस्थानों में अकादमिक/वैज्ञानिक शोध करने वाला व्यक्ति।
- (ओ) "पाण्डुलिपि" के अंतर्गत शोध-लेख, शोध-निबन्ध, शोध-पत्र, पुस्तकों में अध्याय, सम्पूर्ण पुस्तकें तथा अन्य समान कार्य का मूल्यांकन/अभिमत हेतु जमा किया जाने वाला कार्य जो उच्चतर शिक्षा संस्थान के छात्रों या संकाय या शोधकर्ता या कर्मचारी द्वारा निष्णात एवं शोधस्तर की डिग्रियों को प्राप्त करने या प्रिंट या इलेक्ट्रॉनिक मीडिया में प्रकाशन हेतु तैयार किया जाए। तथापि, इसमें नियत कार्य/आवधिक पत्र/परियोजना रिपोर्ट/पाठ्यक्रम संबंधी कार्य/निबन्ध तथा उत्तर पुस्तिकाएं शामिल नहीं होंगी।
- (पी) "स्त्रोत" से अभिप्राय, किसी भी स्त्रोत से किसी भी रूप में प्राप्त की गई प्रकाशित मुख्य एवं गौण अध्ययन सामग्री से है, जिसमें लिखित जानकारी तथा अन्य व्यक्तियों अर्थात् विख्यात विद्वानों, लोकप्रिय हस्तियों, किसी भी प्रकार के पेशेवर व्यक्तियों से प्रत्यक्षतः प्राप्त किये गए दृष्टिकोण को शामिल किया गया हो। इसके अतिरिक्त, इलेक्ट्रॉनिक रूप में आंकड़े एवं सूचना यथा श्रव्य, दृश्य, आकृति या पाठ्यक्रम के रूप में, जिसकी सूचना समान अर्थ में, सूचना प्रौद्योगिकी अधिनियम 2000 के अनुच्छेद 2(1)(V) के अंतर्गत वर्णित है तथा जिसको यहां विनियम 2(1) में पुनः प्रस्तुत किया गया है।

- (क्यू) "कर्मचारी" से तात्पर्य उच्चतर शिक्षा संस्थानों में कार्यरत गैर-शैक्षणिक कर्मचारी वर्ग से है, जो किसी भी क्षमता अर्थात् नियमित, अस्थायी, अनुबन्धात्मक, बाह्य स्रोत आदि में कार्यरत हो।
- (आर) "छात्र" से तात्पर्य उस व्यक्ति से है, जिसका विधिवत दाखिला हुआ हो, पाठ्यक्रम का अध्ययन कर रहा हो, जिसमें किसी भी पद्धति (पूर्णकालिक या अंशकालिक या दूरस्थ माध्यम) से अध्ययन करने वाले शोध पाठ्यक्रम को सम्मिलित किया गया है।
- (एस) "विश्वविद्यालय" से अभिप्राय उन विश्वविद्यालयों से है, जो केन्द्रीय अधिनियम, प्रान्तीय अधिनियम या राज्य अधिनियम के अधीन स्थापित अथवा निगमित हैं तथा उनमें वह मानित वि. वि. संस्थान सम्मिलित हैं जो यूजीसी अधिनियम, 1956 के अनुच्छेद (3) के अंतर्गत आते हैं।
- (टी) "वर्ष" से तात्पर्य वह अकादमिक सत्र है, जिसमें प्रमाणित अपराध किया गया हो।
ऐसे शब्द तथा अभिव्यक्तियाँ, जिन्हें इन विनियमों में परिभाषित नहीं किया गया है, लेकिन वि.अ. अधिनियम, 1956 में परिभाषित हैं तथा इन विनियमों के साथ सुसंगत नहीं हैं उनका इस अधिनियम में निर्दिष्ट तदनु रूप अर्थ लगाया जाएगा।

3. उद्देश्य:

- 3.1 शोध, शोध-पत्र, शोध-निबन्ध के दायित्वपूर्ण आचरण, अकादमिक सत्यनिष्ठा के प्रोत्साहन के प्रति जागरूकता पैदा करना, छात्र संकाय, शोधकर्ता एवं कर्मचारी वर्ग में अकादमिक लेखन में साहित्यिक चोरी सहित कदाचार से बचाव करना।
- 3.2 शिक्षण एवं प्रशिक्षण के जरिये, संस्थानात्मक तंत्र स्थापित करना, जिससे शोध, शोध-पत्र शोधनिबन्ध, अकादमिक सत्यनिष्ठा तथा साहित्यिक चोरी के निवारण में प्रोन्नति सहज हो सके।
- 3.3 साहित्यिक चोरी का पता लगाने के लिए पद्धतियाँ विकसित करना तथा साहित्यिक चोरी से बचाव के लिए रचना-तंत्र की स्थापना करना तथा उच्चतर शिक्षा संस्थान के छात्र, संकाय, शोधकर्ता या कर्मचारी को साहित्यिक चोरी का कृत्य करने पर दण्डित करना।

4. उच्चतर शिक्षा संस्थान के दायित्व :

प्रत्येक उच्चतर शिक्षा संस्थान को एक ऐसे तंत्र की स्थापना करनी चाहिए जैसा कि इन विनियमों में निर्दिष्ट किया गया है, जो कि शोध एवं अकादमिक कार्यकलापों के दायित्वपूर्ण आचरण के प्रति जागरूकता लाने में संवर्धन करे, साथ ही अकादमिक सत्यनिष्ठा को प्रोन्नत करे तथा साहित्यिक चोरी से बचाव करे।

5. जागरूकता कार्यक्रम एवं प्रशिक्षण :

(क) उच्चतर शिक्षा संस्थान, अपने छात्रों, संकायों, शोधकर्ताओं तथा कर्मचारियों को उचित आरोपण के संबंध में अनुदेश देगा, जहाँ कहीं भी आवश्यक हो, लेखक से स्वीकृति की मांग करेगा, आवश्यकतानुसार उन सुसंगत तथा अनुमतिनिर्दिष्ट अनुशासनों के स्रोत की जानकारी प्राप्त करेगा तथा जो नियमों के अनुरूप, अंतर्राष्ट्रीय सम्मेलन तथा स्रोत को नियंत्रित करने वाले विनियमों से संबंधित होंगे।

(ख) उच्चतर शिक्षा संस्थान, प्रत्येक सत्र में सम्मेलन/जागरूकता कार्यक्रमों का सुग्राही संचालन करेगा, जो शोध, शोध-पत्र, शोध-निबन्ध के दायित्वपूर्ण आचरण तथा अकादमिक सत्यनिष्ठा की प्रोन्नति तथा छात्रों, संकायों, शोधकर्ताओं तथा कर्मचारियों के लिए शिक्षा में नैतिकता को बढ़ावा देगा।

(ग) उच्चतर शिक्षा संस्थान, निम्नलिखित कार्यों पर जोर देगा :

- i. एक अनिवार्य पाठ्यक्रम कार्यविधि/माड्यूल के रूप में स्नातकपूर्व (यूजी)/स्नातकोत्तर (पीजी)/निष्णात डिग्री की पाठ्यवस्तु में अकादमिक सत्यनिष्ठा के आधारभूत सिद्धांतों को सम्मिलित करना।
- ii. निष्णात एवं शोधविशेषज्ञों के लिए अनिवार्य पाठ्यक्रम कार्यविधि/माड्यूल के रूप में शोध एवं प्रकाशन के दायित्वपूर्ण आचरण संबंधी मूल तत्वों को सम्मिलित करना।
- iii. उच्चतर शिक्षा संस्थान के संकाय एवं कर्मचारी सदस्यों हेतु अभिमुखी एवं पुनश्चर्या पाठ्यक्रमों को आयोजित करना, शोध एवं प्रकाशन के आधारभूत दायित्वपूर्ण आचरण के तथ्यों को शामिल करना।
- iv. छात्र, संकाय, शोधकर्ता एवं कर्मचारियों को साहित्यिक चोरी का पता लगाने वाले उपकरणों/साधनों तथा संदर्भग्रन्थन उपकरणों को प्रयुक्त करने का प्रशिक्षण प्रदान करना।
- v. साहित्यिक चोरी का पता लगाने हेतु आधुनिक प्रौद्योगिकियों सहित सुविधा उपकरणों की स्थापना करना।

- vi. अंतर्राष्ट्रीय शोधकर्ताओं की पंजीकरण पद्धतियों पर छात्र, संकाय शोधकर्ता एवं कर्मचारी सदस्य के पंजीकरण को प्रोत्साहित करना।

6. साहित्यिक चोरी पर रोकथाम :

- (ए) उच्चतर शिक्षा संस्थान, उपयुक्त सॉफ्टवेयर प्रयुक्त करते हुए प्रौद्योगिकी आधारित रचनातंत्र की घोषणा एवं कार्यान्वयन करेगा, जिससे यह सुनिश्चित हो सके कि शोध-पत्र, शोध-निबन्ध, प्रकाशन या कोई अन्य दस्तावेज उसकी प्रस्तुति के समय साहित्यिक चोरी से मुक्त हैं।
- (बी) ऊपर (ए) में वर्णित रचनातंत्र, शोधकार्य में संलिप्त सभी छात्रों को उपलब्ध कराया जाएगा जिसमें छात्र, संकाय शोधकर्ता एवं कर्मचारी सदस्य आदि भी सम्मिलित होंगे।
- (सी) प्रत्येक छात्र, जो शोध-पत्र, शोध-निबन्ध या समान दस्तावेज, उच्चतर शिक्षा संस्थान को प्रस्तुत करने जा रहा है, वह एक ऐसा वचन-बंध प्रस्तुत करेगा जिसमें यह दर्शाया जाएगा कि प्रस्तुत दस्तावेज उसके द्वारा तैयार किया गया है तथा यह दस्तावेज उसका मौलिक लेखन कार्य है तथा किसी भी प्रकार की साहित्यिक चोरी से मुक्त है।
- (डी) इस वचन-बंध में यह तथ्य भी शामिल किया जाएगा कि इस दस्तावेज की उच्चतर शिक्षा संस्थान द्वारा साहित्यिक चोरी का पता लगाने वाले उपकरणों के जरिये विधिवत जांच कर ली गई है।
- (ई) संस्थान, साहित्यिक चोरी के संबंध में एक ऐसी संबंधित नीति का विकास करेगा तथा इससे संबंधित विधायी निकायों/प्राधिकरणों से उसे स्वीकृत कराएगा। स्वीकृत नीति को HEI वेबसाइट के होमपेज पर डाउनलोड किया जाएगा।
- (एफ) प्रत्येक पर्यवेक्षक, एक प्रमाण-पत्र प्रस्तुत करेगा जिसमें यह निर्दिष्ट किया जाएगा कि शोधकर्ता द्वारा किया गया अमुक कार्य, शोधकर्ता के द्वारा तथा मेरे अधीन रहकर किया गया है तथा यह साहित्यिक चोरी से मुक्त है।
- (जी) संस्थान, सभी निष्णात, शोध पाठ्यक्रम के शोध-पत्रों तथा शोध-निबन्धों को, डिग्री प्रदान किए जाने के पश्चात् 1 माह के भीतर 'शोध गंगा ई-रिपोजिटरी' के अंतर्गत डिजिटल रिपोजिटरी को पोषित करने हेतु इनप्लोबनेट पर इसकी सॉफ्ट प्रतियां प्रस्तुत करेगा।
- (एच) संस्थान, संस्थानात्मक रिपोजिटरी का संस्थान की वेबसाइट पर सृजन करेगा जिसमें शोध-निबन्ध/शोध-पत्र/पत्र-आलेख/प्रकाशन तथा अन्य आंतरिक (इन-हाउस) प्रकाशनों को भी सम्मिलित करेगा।

7. साहित्यिक चोरी के बहिष्करण हेतु समरूपता रोकथाम :

साहित्यिक चोरी के लिए समानता जांच में निम्नवत वर्जित होंगे :

- सभी अनिवार्य अनुमतियों और/अथवा गुणधर्म के साथ उद्धृत कार्य।
- सभी सदर्म, पुस्तकसूची, विषयवस्तु की तालिका, आमुख तथा सामार।
- सभी सामान्य शब्दावली, विधि, मानक, चिह्न तथा मानक समीकरण।

नोट:

छात्रों, संकाय, शोधकर्ताओं तथा कर्मचारियों द्वारा किया गया शोधकार्य, मूल विचार पर आधारित होगा, जिसमें केवल संक्षेपण, सारांश, अक्धारणा, टिप्पणियां, परिणाम, निष्कर्ष तथा सिफारिशें शामिल होंगी तथा इसमें कोई समानताएं नहीं होंगी। इसमें चौदह (14) क्रमगत शब्दों तक सामान्य ज्ञान अथवा अनुरूप शब्दावली विवर्जित होगी।

8. साहित्यिक चोरी के स्तर :

साहित्यिक चोरी को परिभाषित करने के प्रयोजनार्थ उसकी गंभीरता के बढ़ते क्रम में साहित्यिक चोरी को निम्नवत स्तरों में मापा जाएगा:

- स्तर शून्य : दस प्रतिशत तक समानता— थोड़ी बहुत समानताएं, कोई दण्ड नहीं।
- प्रथम स्तर : दस प्रतिशत से चालीस प्रतिशत तक समानताएं।
- द्वितीय स्तर : चालीस प्रतिशत से साठ प्रतिशत तक समानताएं।
- तृतीय स्तर : साठ प्रतिशत से अधिक समानताएं।

9. साहित्यिक चोरी का पता लगाना/जानकारी प्रदान करना/कार्यवाही करना :

यदि शैक्षिक समुदाय का कोई सदस्य उपर्युक्त प्रमाण के साथ संदेह व्यक्त करता है कि किसी दस्तावेज में साहित्यिक चोरी का कोई प्रकरण बनता है, वह इस मामले की जानकारी विभागीय शैक्षिक सत्यनिष्ठा पैनल (डीएआईपी) को देगा। डीएआईपी, ऐसी शिकायत अथवा आरोप की प्राप्ति पर मामले की जांच करेगा तथा उच्चतर शिक्षा संस्थान की संस्थागत शैक्षिक सत्यनिष्ठा नामसूची (आईएआईपी) को अपनी सिफारिशें सौंपेगा।

उच्चतर शिक्षा संस्थान के प्राधिकारी साहित्यिक चोरी के कृत्य का स्वयंमेव संज्ञान भी ले सकते हैं और इन विनियमों के तहत कार्यवाहियां कर सकते हैं। इसी प्रकार, परीक्षक के निष्कर्षों के आधार पर भी उच्चतर शिक्षा संस्थान द्वारा कार्यवाही आरंभ की जा सकती है। ऐसे सभी मामलों की आईएआईपी द्वारा जांच की जाएगी।

10. विभागीय शैक्षिक सत्यनिष्ठा नामसूची (डीएआईपी) :

- i. उच्चतर शिक्षा संस्थान के सभी विभाग एक डीएआईपी को अधिसूचित करेंगे जिसकी संरचना नीचे दी गई है:
 - क. अध्यक्ष—विभागाध्यक्ष
 - ख. सदस्य—विभाग से इतर एक वरिष्ठ शिक्षाविद्, जिसे उच्चतर शिक्षा संस्थान के प्रमुख द्वारा नामित किया जाएगा।
 - ग. सदस्य—साहित्यिक चोरी के साधनों से भली-भांति परिचित एक व्यक्ति, जिसे विभागाध्यक्ष द्वारा नामित किया जाएगा।

बिंदु 'ख' तथा 'ग' के संबंध में सदस्यगणों का कार्यकाल दो वर्षों का होगा। बैठक के लिए सदस्यों की गणपूर्ति 3 में से 2 सदस्यों द्वारा होगी (समापति सहित)।
- ii. डीएआईपी, छात्रों, संकाय, शोधकर्ताओं तथा कर्मचारियों के विरुद्ध साहित्यिक चोरी के आरोपों के संबंध में निर्णय देते हुए नैसर्गिक न्याय के सिद्धांतों का पालन करेगा।
- iii. डीएआईपी, को साहित्यिक चोरी के स्तरों का मूल्यांकन करने तथा तदनुसार, दण्ड की सिफारिश करने की शक्तियां प्राप्त होंगी।
- iv. शिकायत प्राप्त होने/ कार्यवाहियां आरंभ किए जाने की तिथि से 45 दिनों के भीतर डीएआईपी, जांच उपरांत, अपनी रिपोर्ट सहित लगाए जाने वाले दण्डों पर अपनी सिफारिशों को आईएआईपी को प्रस्तुत करेगी।

11. संस्थागत शैक्षिक सत्यनिष्ठा पैनल (आईएआईपी) :

- i. उच्चतर शिक्षा संस्थान, आईएआईपी को अधिसूचित करेंगे जिसकी संरचना नीचे दी गई है :
 - क. अध्यक्ष—उच्चतर शिक्षा संस्थान का सम-कुलपति/संकाय अध्यक्ष/वरिष्ठ शिक्षाविद्।
 - ख. सदस्य—उच्चतर शिक्षा संस्थान के अध्यक्ष द्वारा नामित एक वरिष्ठ शिक्षाविद्।
 - ग. सदस्य—उच्चतर शिक्षा संस्थान से इतर किसी अन्य उच्चतर शिक्षा संस्थान द्वारा नामित किया जाने वाला एक सदस्यगण।
 - घ. सदस्य—साहित्यिक चोरी के साधनों से भली-भांति परिचित एक व्यक्ति, जिसे विभागाध्यक्ष द्वारा नामित किया जाएगा।

एक ही व्यक्ति, डीएआईपी और आईएआईपी का अध्यक्ष नहीं होगा। अध्यक्ष सहित समिति के सदस्यगणों का कार्यकाल 3 वर्षों का होगा। बैठक के लिए सदस्यों की गणपूर्ति 3 में से 2 सदस्यों (समापति सहित) द्वारा होगी।

- ii. आईएआईपी, डीएआईपी की सिफारिशों पर विचार करेगा।
- iii. आईएआईपी, इन विनियमों में उल्लिखित उपबंधों के अनुसार साहित्यिक चोरी के मामलों की जांच भी करेगा।
- iv. आईएआईपी, उच्चतर शिक्षा संस्थान के छात्रों, संकाय, शोधकर्ताओं तथा कर्मचारियों के विरुद्ध साहित्यिक चोरी के आरोपों के संबंध में निर्णय देते हुए नैसर्गिक न्याय के सिद्धांतों का पालन करेगा।
- v. आईएआईपी को विधिवत् औचित्य के साथ दण्ड सहित डीएआईपी की सिफारिशों की समीक्षा करने की भी शक्तियां प्राप्त होंगी।
- vi. आईएआईपी जांच उपरांत रिपोर्ट तथा उच्चतर शिक्षा विभाग के प्रमुख द्वारा लगाए जाने वाले दण्ड संबंधी सिफारिशों को डीएआईपी द्वारा शिकायत प्राप्त होने/ कार्यवाहियां आरंभ किए जाने की तिथि से 45 दिनों के भीतर भेजेगा।
- vii. आईएआईपी उस व्यक्ति(यों) को रिपोर्ट की प्रति उपलब्ध कराएगा जिसके विरुद्ध जांच रिपोर्ट प्रस्तुत की गई है।

12. दण्ड :

साहित्यिक चोरी के मामले में निष्णात तथा शोध कार्यक्रमों के स्तर पर उच्चतर शिक्षा संस्थान में अध्ययनरत छात्रों तथा उच्चतर शिक्षा के संस्थानों के शोधकर्ताओं, संकाय तथा कर्मचारिवृंदों पर केवल उस स्थिति में ही दण्ड लगाया जाएगा जब बिना किसी संदेह के किसी व्यक्ति विशेष द्वारा शैक्षिक कदाचार किए जाने की पुष्टि हो जाती है और जब अपील के सभी विकल्पों को पूर्णतः उपयोग कर लिया जाता है और जब अमुक व्यक्ति को अपना बचाव करने के लिए स्पष्ट अथवा पारदर्शी पद्धति से पर्याप्त अवसर प्रदान किया गया हो।

12.1 शोध-प्रबंध (थीसीस) तथा शोध-निबंध (डिसरटेशन) को प्रस्तुत करने के मामले में साहित्यिक चोरी :

संस्थागत शैक्षिक सत्यनिष्ठा नामसूची (आईएआईपी) साहित्यिक चोरी की गंभीरता पर विचार कर दण्ड आरोपित करेगा :

- i. स्तर शून्य : दस प्रतिशत तक समानताएं— थोड़ी बहुत समानताएं, कोई दण्ड नहीं।
- ii. प्रथम स्तर : दस प्रतिशत से चालीस प्रतिशत तक समानताएं— ऐसे छात्रों को अधिकतम छह माह की विनिर्धारित अवधि के भीतर संशोधित आलेख जमा करने को कहा जाएगा।
- iii. द्वितीय स्तर : चालीस प्रतिशत से साठ प्रतिशत तक समानताएं— ऐसे छात्रों को अधिकतम एक वर्ष की अवधि के लिए संशोधित आलेख जमा करने से वंचित किया जाएगा।
- iv. तृतीय स्तर : साठ प्रतिशत से अधिक समानताएं— ऐसे छात्रों के उस कार्यक्रम के लिए पंजीकरण को रद्द कर दिया जाएगा।

नोट 1: बार-बार साहित्यिक चोरी करने पर दण्ड : प्रत्येक छात्र को साहित्यिक चोरी के लिए दण्डित किया जाएगा यदि उसके द्वारा की गई साहित्यिक चोरी पिछली बार की गई साहित्यिक चोरी से एक स्तर अधिक हो। यदि सर्वोच्च स्तर की साहित्यिक चोरी की गई हो तो उसे कारगर दंड दिया जाएगा।

नोट 2: उस स्थिति में साहित्यिक चोरी जब उपाधि/क्रेडिट पहले ही प्राप्त किया गया हो— यदि उपाधि/क्रेडिट किए जाने, जैसा भी मामला हो, प्रदान किए जाने की तिथि के बाद में साहित्यिक चोरी सिद्ध हो तो उसकी उपाधि/क्रेडिट को आईएआईपी द्वारा संस्तुत अवधि के लिए आस्थगित रखा जाएगा तथा संस्थान के प्रमुख द्वारा अनुमोदित किया जाएगा।

12.2 शैक्षिक तथा शोध प्रकाशनों में साहित्यिक चोरी के मामले में दण्ड :

- I. स्तर शून्य : दस प्रतिशत तक समानताएं— थोड़ी बहुत समानताएं, कोई दण्ड नहीं।
- II. प्रथम स्तर : दस प्रतिशत से चालीस प्रतिशत तक समानताएं—
 - i. ऐसे छात्रों को, पांडुलिपि वापस लेने को कहा जाएगा।
- III. द्वितीय स्तर : चालीस प्रतिशत से साठ प्रतिशत तक समानताएं—
 - i. उन्हें पांडुलिपि वापस लेने को कहा जाएगा।
 - ii. उन्हें एक वार्षिक वेतन वृद्धि के अधिकार से वंचित किया जाएगा।
 - iii. उन्हें दो वर्ष की अवधि के लिए किसी नई निष्णात, एम.फिल., पीएच.डी. छात्र/ विद्वान का पर्यवेक्षण करने की अनुमति नहीं दी जाएगी।
- IV. तृतीय स्तर : साठ प्रतिशत से अधिक समानताएं—
 - i. उन्हें पांडुलिपि वापस लेने को कहा जाएगा।
 - ii. उन्हें लगातार दो वार्षिक वेतन वृद्धि के अधिकार से वंचित किया जाएगा।
 - iii. उन्हें तीन वर्ष की अवधि के लिए किसी नए निष्णात, एम.फिल., पीएच.डी. छात्र/ विद्वान का पर्यवेक्षण करने की अनुमति नहीं दी जाएगी।

नोट 1: बार-बार साहित्यिक चोरी करने पर दण्ड : उन्हें पांडुलिपि वापस लेने को कहा जाएगा और उन्हें की गई साहित्यिक चोरी के निम्न स्तर से एक स्तर ऊपर की साहित्यिक चोरी के लिए दण्डित किया जाएगा। यदि की गई साहित्यिक चोरी सर्वोच्च स्तर की हो तो उसके लिए विहित दंड लागू होगा। यदि तृतीय स्तर के दोष की पुनरावृत्ति की गई हो तो उच्चतर शिक्षा संस्थान द्वारा सेवा नियमों के अनुसार निलंबन/सेवा समाप्ति सहित अनुशासनात्मक कार्रवाई की जाएगी।

नोट 2 : उस स्थिति में दण्ड, जब साहित्यिक चोरी का लाम अथवा क्रेडिट पहले ही प्राप्त किया गया हो- यदि लाम अथवा क्रेडिट प्राप्त किए जाने, जैसा भी मामला हो, की तिथि के बाद साहित्यिक चोरी सिद्ध हो तो उसके द्वारा प्राप्त लाम अथवा क्रेडिट को आईएआईपी द्वारा संस्तुत अवधि के लिए आस्थगित रखा जाएगा तथा संस्थान के प्रमुख द्वारा अनुमोदित किया जाएगा।

नोट 3 : उच्चतर शिक्षा संस्थान ऐसा तंत्र विकसित करेंगे ताकि यह सुनिश्चित किया जाए कि छात्र, संकाय, शोधकर्ता अथवा कर्मचारिवृंद द्वारा प्रकाशित किए गए प्रत्येक पत्र/शोध-प्रबंध (थीसीस) तथा शोध-निबंध (डिसरटेशन) को अग्रपिठ/ प्रस्तुत किए जाने के समय साहित्यिक चोरी के लिए जांचा जाए।

नोट 4 : यदि उच्चतर शिक्षा संस्थान के प्रधान के विरुद्ध साहित्यिक चोरी की कोई शिकायत हो तो, इन विनियमों के अनुरूप उच्चतर शिक्षा संस्थान के नियंत्रण अधिकारी द्वारा उपर्युक्त कार्यवाई की जाएगी।

नोट 5 : यदि संस्थागत स्तर पर विभागाध्यक्ष/प्राधिकारियों के विरुद्ध साहित्यिक चोरी की कोई शिकायत हो तो, इन विनियमों के अनुरूप आईएआईपी द्वारा उपयुक्त कार्यवाई की जाएगी जिसे सक्षम अधिकारी द्वारा अनुमोदित किया जाएगा।

नोट 6 : यदि डीएआईपी अथवा आईएआईपी के किसी सदस्यगण के विरुद्ध साहित्यिक चोरी की कोई शिकायत हो तो, ऐसा सदस्य ऐसी बैठकों में भाग नहीं लेगा जहां उसके मामले के संबंध में चर्चा की जा रही हो/अथवा जांच की जा रही हो।

13. कठिनाइयों का निवारण

विश्वविद्यालय अनुदान आयोग, इन विनियमों के कार्यान्वयन के दौरान सामने आने वाली कठिनाइयों को भारत सरकार/मानव संसाधन विकास मंत्रालय के परामर्श से निवारण करने का अधिकार सुरक्षित रखता है।

प्रो. रजनीश जैन, सचिव

[विज्ञापन-III/4/असा./161/18]

UNIVERSITY GRANTS COMMISSION

NOTIFICATION

UNIVERSITY GRANTS COMMISSION (PROMOTION OF ACADEMIC INTEGRITY AND PREVENTION OF PLAGIARISM IN HIGHER EDUCATIONAL INSTITUTIONS) REGULATIONS, 2018

New Delhi, the 23rd July, 2018

F. 1-18/2010(CPP-II).—

Preamble

Whereas, University Grants Commission (UGC), as per UGC Act, 1956, is mandated to coordinate and determine the standards of higher education;

And whereas, assessment of academic and research work done leading to the partial fulfillment for the award of degrees at Masters and Research level, by a student or a faculty or a researcher or a staff, in the form of thesis, dissertation and publication of research papers, chapters in books, full-fledged books and any other similar work, reflects the extent to which elements of academic integrity and originality are observed in various relevant processes adopted by Higher Educational Institutions (HEIs);

Therefore, in exercise of the powers conferred by clause (j) of Section 12 read with clauses (f) and (g) of sub-section (1) of Section 26 of the University Grants Commission Act, 1956, the University Grants Commission hereby makes the following regulations:-

1. Short title, application and commencement –

- These regulations shall be called the University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, 2018.
- They shall apply to the students, faculty, researchers and staff of all Higher Educational Institutions in the country.
- These regulations shall come into force from the date of their notification in the Official Gazette.

2. Definitions -

In these regulations, unless the context otherwise requires—

- a. "Academic Integrity" is the intellectual honesty in proposing, performing and reporting any activity, which leads to the creation of intellectual property;
- b. "Author" includes a student or a faculty or a researcher or staff of Higher Educational Institution (HEI) who claims to be the creator of the work under consideration;
- c. "Commission" means the University Grants Commission as defined in the University Grants Commission Act, 1956;
- d. "Common Knowledge" means a well known fact, quote, figure or information that is known to most of the people;
- e. "Degree" means any such degree specified by the University Grants Commission, by notification in the Official Gazette, under section 22 of the University Grants Commission Act, 1956;
- f. "Departmental Academic Integrity Panel" shall mean the body constituted at the departmental level to investigate allegations of plagiarism;
- g. "Faculty" refers to a person who is teaching and/or guiding students enrolled in an HEI in any capacity whatsoever i.e. regular, ad-hoc, guest, temporary, visiting etc;
- h. "Higher Educational Institution (HEI)" means a university recognized under section 2(f) of the UGC Act, 1956 or an institution deemed to be university under section 3 of the UGC Act, 1956 or an affiliating college / institution or a constituent unit of a university;
- i. "Information" includes data, message, text, images, sound, voice, codes, computer programs, software and databases or microfilm or computer generated microfiche;
- j. "Institutional Academic Integrity Panel" shall mean the body constituted at Institutional level to consider recommendations of the departmental academic integrity panel and take appropriate decisions in respect of allegations of plagiarism and decide on penalties to be imposed. In exceptional cases, it shall investigate allegations of plagiarism at the institutional level;
- k. "Notification" means a notification published in the Official Gazette and the expression "notify" with its cognate meanings and grammatical variation shall be construed accordingly;
- l. "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own.
- m. "Programme" means a programme of study leading to the award of a masters and research level degree;
- n. "Researcher" refers to a person conducting academic / scientific research in HEIs;
- o. "Script" includes research paper, thesis, dissertation, chapters in books, full-fledged books and any other similar work, submitted for assessment / opinion leading to the award of master and research level degrees or publication in print or electronic media by students or faculty or researcher or staff of an HEI; however, this shall exclude assignments / term papers / project reports / course work / essays and answer scripts etc.;
- p. "Source" means the published primary and secondary material from any source whatsoever and includes written information and opinions gained directly from other people, including eminent scholars, public figures and practitioners in any form whatsoever as also data and information in the electronic form be it audio, video, image or text; Information being given the same meaning as defined under Section 2 (1) (v) of the Information Technology Act, 2000 and reproduced here in Regulation 2 (1);
- q. "Staff" refers to all non-teaching staff working in HEIs in any capacity whatsoever i.e. regular, temporary, contractual, outsourced etc.;
- r. "Student" means a person duly admitted and pursuing a programme of study including a research programme in any mode of study (full time or part-time or distance mode);
- s. "University" means a university established or incorporated by or under a Central Act, a Provincial Act or a State Act, and includes an institution deemed to be university under section 3 of the UGC Act, 1956;
- t. "Year" means the academic session in which a proven offence has been committed.

Words and expressions used and not defined in these regulations but defined in the University Grants Commission Act, 1956 shall have the meanings respectively assigned to them in UGC Act, 1956.

3. Objectives

- 3.1 To create awareness about responsible conduct of research, thesis, dissertation, promotion of academic integrity and prevention of misconduct including plagiarism in academic writing among student, faculty, researcher and staff.
- 3.2 To establish institutional mechanism through education and training to facilitate responsible conduct of research, thesis, dissertation, promotion of academic integrity and deterrence from plagiarism.
- 3.3 To develop systems to detect plagiarism and to set up mechanisms to prevent plagiarism and punish a student, faculty, researcher or staff of HEI committing the act of plagiarism.

4. Duties of HEI:

Every HEI should establish the mechanism as prescribed in these regulations, to enhance awareness about responsible conduct of research and academic activities, to promote academic integrity and to prevent plagiarism.

5. Awareness Programs and Trainings:

- (a) HEI shall instruct students, faculty, researcher and staff about proper attribution, seeking permission of the author wherever necessary, acknowledgement of source compatible with the needs and specificities of disciplines and in accordance with rules, international conventions and regulations governing the source.
- (b) HEI shall conduct sensitization seminars/ awareness programs every semester on responsible conduct of research, thesis, dissertation, promotion of academic integrity and ethics in education for students, faculty, researcher and staff.
- (c) HEI shall :
 - i. Include the cardinal principles of academic integrity in the curricula of Undergraduate (UG)/Postgraduate (PG)/Master's degree etc. as a compulsory course work/module.
 - ii. Include elements of responsible conduct of research and publication ethics as a compulsory course work/module for Masters and Research Scholars.
 - iii. Include elements of responsible conduct of research and publication ethics in Orientation and Refresher Courses organized for faculty and staff members of the HEI.
 - iv. Train student, faculty, researcher and staff for using plagiarism detection tools and reference management tools.
 - v. Establish facility equipped with modern technologies for detection of plagiarism.
 - vi. Encourage student, faculty, researcher and staff to register on international researcher's Registry systems.

6. Curbing Plagiarism

- a) HEI shall declare and implement the technology based mechanism using appropriate software so as to ensure that documents such as thesis, dissertation, publications or any other such documents are free of plagiarism at the time of their submission.
- b) The mechanism as defined at (a) above shall be made accessible to all engaged in research work including student, faculty, researcher and staff etc.
- c) Every student submitting a thesis, dissertation, or any other such documents to the HEI shall submit an undertaking indicating that the document has been prepared by him or her and that the document is his/her original work and free of any plagiarism.
- d) The undertaking shall include the fact that the document has been duly checked through a Plagiarism detection tool approved by the HEI.
- e) HEI shall develop a policy on plagiarism and get it approved by its relevant statutory bodies/authorities. The approved policy shall be placed on the homepage of the HEI website.
- f) Each supervisor shall submit a certificate indicating that the work done by the researcher under him / her is plagiarism free.
- g) HEI shall submit to INFLIBNET soft copies of all Masters, Research program's dissertations and thesis within a month after the award of degrees for hosting in the digital repository under the "Shodh Ganga e-repository".
- h) HEI shall create Institutional Repository on institute website which shall include dissertation / thesis / paper / publication and other in-house publications.

7. Similarity checks for exclusion from Plagiarism

The similarity checks for plagiarism shall exclude the following:

- i. All quoted work reproduced with all necessary permission and/or attribution.
- ii. All references, bibliography, table of content, preface and acknowledgements.
- iii. All generic terms, laws, standard symbols and standards equations.

Note:

The research work carried out by the student, faculty, researcher and staff shall be based on original ideas, which shall include abstract, summary, hypothesis, observations, results, conclusions and recommendations only and shall not have any similarities. It shall exclude a common knowledge or coincidental terms, up to fourteen (14) consecutive words.

8. Levels of Plagiarism

Plagiarism would be quantified into following levels in ascending order of severity for the purpose of its definition:

- i. Level 0: Similarities upto 10% - Minor similarities, no penalty
- ii. Level 1: Similarities above 10% to 40%
- iii. Level 2: Similarities above 40% to 60%
- iv. Level 3: Similarities above 60%

9. Detection/Reporting/Handling of Plagiarism

If any member of the academic community suspects with appropriate proof that a case of plagiarism has happened in any document, he or she shall report it to the Departmental Academic Integrity Panel (DAIP). Upon receipt of such a complaint or allegation the DAIP shall investigate the matter and submit its recommendations to the Institutional Academic Integrity Panel (IAIP) of the HEI.

The authorities of HEI can also take *suomotu* notice of an act of plagiarism and initiate proceedings under these regulations. Similarly, proceedings can also be initiated by the HEI on the basis of findings of an examiner. All such cases will be investigated by the IAIP.

10. Departmental Academic Integrity Panel (DAIP)

- i. All Departments in HEI shall notify a DAIP whose composition shall be as given below:
 - a. Chairman - Head of the Department
 - b. Member - Senior academician from outside the department, to be nominated by the head of HEI.
 - c. Member - A person well versed with anti plagiarism tools, to be nominated by the Head of the Department.

The tenure of the members in respect of points 'b' and 'c' shall be two years. The quorum for the meetings shall be 2 out of 3 members (including Chairman).
- ii. The DAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff.
- iii. The DAIP shall have the power to assess the level of plagiarism and recommend penalty(ies) accordingly.
- iv. The DAIP after investigation shall submit its report with the recommendation on penalties to be imposed to the IAIP within a period of 45 days from the date of receipt of complaint / initiation of the proceedings.

11. Institutional Academic Integrity Panel (IAIP)

- i. HEI shall notify a IAIP whose composition shall be as given below:
 - a. Chairman - Pro-VC/Dean/Senior Academician of the HEI.
 - b. Member - Senior Academician other than Chairman, to be nominated by the Head of HEI.
 - c. Member - One member nominated by the Head of HEI from outside the HEI
 - d. Member - A person well versed with anti-plagiarism tools, to be nominated by the Head of the HEI.

The Chairman of DAIP and IAIP shall not be the same. The tenure of the Committee members including Chairman shall be three years. The quorum for the meetings shall be 3 out of 4 members (including Chairman).

- ii. The IAIP shall consider the recommendations of DAIP.
- iii. The IAIP shall also investigate cases of plagiarism as per the provisions mentioned in these regulations.

- iv. The IAIP shall follow the principles of natural justice while deciding about the allegation of plagiarism against the student, faculty, researcher and staff of HEI.
- v. The IAIP shall have the power to review the recommendations of DAIP including penalties with due justification.
- vi. The IAIP shall send the report after investigation and the recommendation on penalties to be imposed to the Head of the HEI within a period of 45 days from the date of receipt of recommendation of DAIP/ complaint / initiation of the proceedings.
- vii. The IAIP shall provide a copy of the report to the person(s) against whom inquiry report is submitted.

12. Penalties

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researcher, faculty & staff of the HEI only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

12.1 Penalties in case of plagiarism in submission of thesis and dissertations

Institutional Academic Integrity Panel (IAIP) shall impose penalty considering the severity of the Plagiarism.

- i. **Level 0: Similarities upto 10%** - Minor Similarities, no penalty.
- ii. **Level 1: Similarities above 10% to 40%** - Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.
- iii. **Level 2: Similarities above 40% to 60%** - Such student shall be debarred from submitting a revised script for a period of one year.
- iv. **Level 3: Similarities above 60%** -Such student registration for that programme shall be cancelled.

Note 1: Penalty on repeated plagiarism- Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

Note 2: Penalty in case where the degree/credit has already been obtained - If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IAIP and approved by the Head of the Institution.

12.2 Penalties in case of plagiarism in academic and research publications

- I. **Level 0: Similarities up to 10%** - Minor similarities, no penalty.
- II. **Level 1: Similarities above 10% to 40%**
 - i) Shall be asked to withdraw manuscript.
- III. **Level 2: Similarities above 40% to 60%**
 - i) Shall be asked to withdraw manuscript.
 - ii) Shall be denied a right to one annual increment.
 - iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of two years.
- IV. **Level 3: Similarities above 60%**
 - i) Shall be asked to withdraw manuscript.
 - ii) Shall be denied a right to two successive annual increments.
 - iii) Shall not be allowed to be a supervisor to any new Master's, M.Phil., Ph.D. Student/scholar for a period of three years.

Note 1: Penalty on repeated plagiarism - Shall be asked to withdraw manuscript and shall be punished for the plagiarism of one level higher than the lower level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative. In case level 3 offence is repeated then the disciplinary action including suspension/termination as per service rules shall be taken by the HEI.

Note 2: Penalty in case where the benefit or credit has already been obtained - If plagiarism is proved on a date later than the date of benefit or credit obtained as the case may be then his/her benefit or credit shall be put in abeyance for a period recommended by IAIP and approved by the Head of the Institution.

Note 3: HEIs shall create a mechanism so as to ensure that each of the paper publication/thesis/dissertation by the student, faculty, researcher or staff of the HEI is checked for plagiarism at the time of forwarding/submission.

Note 4: If there is any complaint of plagiarism against the Head of an HEI, a suitable action, in line with these regulations, shall be taken by the Controlling Authority of the HEI.

Note 5: If there is any complaint of plagiarism against the Head of Department/Authorities at the institutional level, a suitable action, in line with these regulations, shall be recommended by the IAIP and approved by the Competent Authority.

Note 6: If there is any complaint of plagiarism against any member of DAIP or IAIP, then such member shall excuse himself / herself from the meeting(s) where his/her case is being discussed/investigated.

13. Removal of Difficulty

UGC reserves the right to remove difficulty/difficulties in the course of implementations of these Regulations in consultation with the Government of India/ Ministry of Human Resource Development.

Prof. RAJNISH JAIN, Secy.

[ADVT.-III/4/Exty./161/18]

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